



PTO/SB/96 (08-03)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James Anthony Larkin and William Anthony ThorpeApplication No./Patent No.: 10/019,819 Filed/Issue Date: April 9, 2002Entitled: METHOD AND APPARATUS FOR MOUNTING A BRAKE DISC WITH RESILIENT BIASING MEANS

Deplu Technologies, Inc.

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012839, Frame 0514, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

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The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2-24-2004
Date

(248) 813-1200

Telephone number

Thomas N. Twomey

Typed or printed name

Thomas N. Twomey

Signature

Vice President

Title

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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/17/2002

REEL/FRAME: 012839/0514
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LARKIN, JAMES ANTHONY

DOC DATE: 03/19/2002

ASSIGNOR:
THORPE, WILLIAM ANTHONY

DOC DATE: 12/20/2001

ASSIGNEE:
DELPHI TECHNOLOGIES, INC.
P.O. BOX 5052
LEGAL STAFF-MAIL CODE: 480-414-420
TROY, MICHIGAN 48007

SERIAL NUMBER: 10019919
PATENT NUMBER:

FILING DATE: 04/09/2002
ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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JUL - 5 2002
LEARMAN & McCULLOUGH

ASSIGNMENT

WHEREAS WE, James Anthony Larkin, 32 Oakfield Road, Rugby, Warwickshire CV22 0AU, GREAT BRITAIN, and William Anthony Thorpe, 6 Cedar Court, New Road, Burbage, Leicester LE10 2AW, GREAT BRITAIN, have invented certain new and useful improvements in METHOD AND APPARATUS FOR MOUNTING A BRAKE DISC WITH RESILIENT BIASING MEANS disclosed and claimed in a United States Patent Application executed on December 20, 2001 and March 19, 2002.

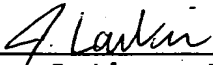
AND WHEREAS, Delphi Technologies Inc., Legal Staff - Mail Code 480-414-420, P.O. Box 5052, Troy, Michigan 48007 USA, hereinafter called the Assignee is desirous of acquiring an interest in and to said application and the inventions and improvements therein disclosed;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, we do hereby assign, sell, transfer, and set over unto said Assignee ~~our entire right, title and interest in and to said application and the inventions and improvements therein disclosed, including any Letters Patent which may issue therefor, and all divisions, reissues, continuations, renewals, reexaminations and/or extensions thereof, said Assignee to have and to hold the interests herein assigned as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.~~

We hereby authorize and request the Patent Office officials in the United States to issue any and all said Letters Patent, when granted, to said Assignee as assignee of our entire right, title and interest in and to the same.

This assignment shall be binding upon our heirs, executors, administrators and legal representatives, as the case may be, and shall inure to the benefit of the heirs, executors, administrators, successors, legal representatives and assigns, as the case may be, of said Assignee.

In TESTIMONY WHEREOF I have hereunto set our hands this 19th day of March 2002, ~~2001~~.



James Anthony Larkin

William Anthony Thorpe

ASSIGNMENT

WHEREAS WE, James Anthony Larkin, 32 Oakfield Road, Rugby, Warwickshire CV22 0AU, GREAT BRITAIN, and William Anthony Thorpe, 6 Cedar Court, New Road, Burbage, Leicester LE10 2AW, GREAT BRITAIN, have invented certain new and useful improvements in METHOD AND APPARATUS FOR MOUNTING A BRAKE DISC WITH RESILIENT BIASING MEANS disclosed and claimed in a United States Patent Application executed on December 20, 2001 and March 19, 2002.

AND WHEREAS, Delphi Technologies Inc., Legal Staff - Mail Code 480-414-420, P.O. Box 5052, Troy, Michigan 48007 USA, hereinafter called the Assignee is desirous of acquiring an interest in and to said application and the inventions and improvements therein disclosed;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, the receipt and sufficiency of which are hereby acknowledged, we do hereby assign, sell, transfer, and set over unto said Assignee our entire right, title and interest in and to said application and the inventions and improvements therein disclosed, including any Letters Patent which may issue therefor, and all divisions, reissues, continuations, renewals, reexaminations and/or extensions thereof, said Assignee to have and to hold the interests herein assigned as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

We hereby authorize and request the Patent Office officials in the United States to issue any and all said Letters Patent, when granted, to said Assignee as assignee of our entire right, title and interest in and to the same.

This assignment shall be binding upon our heirs, executors, administrators and legal representatives, as the case may be, and shall inure to the benefit of the heirs, executors, administrators, successors, legal representatives and assigns, as the case may be, of said Assignee.

In TESTIMONY WHEREOF I have hereunto set our hands this 20TH day of DECEMBER, 2001.

James Anthony Larkin

W. A. Thorpe
William Anthony Thorpe

REC

05-02-2002

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):
James Anthony Earkin
William Anthony Thorpe

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: March 19, 2002 and
December 20, 2001

2. Name and address of receiving party(ies)

Name: Delphi Technologies, Inc.

Internal Address:

Street Address: P.O. Box 5052

Legal Staff-Mail Code: 480-414-420

City: Troy State: MI ZIP: 48007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/019,919

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert L. Stearns

Internal Address:

Street Address: 5291 Colony Drive North

City: Saginaw State: MI ZIP: 48603

6. Total number of applications and patents involved:

one

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed - Check No. 19075

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert L. Stearns

Name of Person Signing

Signature

April 9, 2002

Date

Total number of pages including cover sheet, attachments, and document:

three

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

CERTIFICATION 37 C.F.R. 1.8a and 1.10
(Express Mail label number is mandatory.)
(Express Mail certification is optional).

I hereby certify that, on the date shown below, this correspondence is being:

☒ deposited with the United States Postal Service, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. 1.8a

☒ with sufficient postage as first class mail.

37 C.F.R. 1.10*

☐ as "Express Mail Post Office to Addressee" Mailing Label No. (mandatory)

Signature

Nicole R. Schmoltz

(type or print name certifying)

Date: April 9, 2002